

Corporate Regulations of the American Trakehner Association, Inc.

(as amended November 12, 2011)

PART I – CORPORATE ORGANIZATION

ARTICLE I – TITLE, PURPOSES, LOCATION AND CORPORATE SEAL

- §1. The name of this Corporation shall be the American Trakehner Association, Inc., which is a Unification of the North-American Trakehner Association, Inc. (NATA) and the American Trakehner Association, Inc. (ATA), hereinafter referred to as “the Association.” The Association shall be operated and conducted as a nonprofit association in accordance with the Ohio Revised Code Annotated or any other applicable state regulations, or any successor provisions of the laws of the State of Ohio.
- §2. The purposes of the Association are:
To promote and preserve the Trakehner Horse in North America and support the membership of the Association. In so doing, the Association will:
- (a) maintain a public registry of Trakehner horses;
 - (b) preserve and improve the integrity and quality of the Trakehner Horse in North America by inspecting potential breeding stock and granting breeding approval to qualified horses;
 - (c) brand eligible horses with the Association’s purebred or partbred brand, upon the owner’s request and compliance with these Regulations;
 - (d) provide information pertaining to the Trakehner horse to the Association’s members and the public;
 - (e) promote the performance of the Trakehner horse in dressage, three-day eventing, hunting, jumping and driving;
 - (f) generally perform all the functions appropriate to encourage a public understanding of the Trakehner horse, its breeding and performance; and
 - (g) maintain an affiliation with the German Trakehner Verband as long as it is consistent with the Association’s other stated purposes.
- §3. The principal place of business shall be in Licking County, Ohio, but its members, directors or officers may be residents of any state, territory, or country and business may be carried on at any place convenient to such members, directors, or officers who are conducting the business of the Association. The principal place of business may be moved by resolution of the Board of Trustees, supported by a two-thirds majority vote of the Board of Trustees.
- §4. The seal of the Association shall be in the following form:

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- (a) The Secretary shall be the official custodian of the seal but it may be held by officers or administrative personnel as necessary for the conduct of the Association's business.
- (b) The form of the seal (“the Mark”) may be impressed or printed on all official forms, stationery, and documents of the Association. Members of the Association may use the Mark in marking equipment, stationery, or other items for personal use, and in the promotion of their Trakehner horses and related activities, provided the Mark is not used in a way that identifies the individual member as, or as acting on behalf of, the Association.
- (c) Any use of the Mark by any other person or entity, and any commercial use of the Mark by members not expressly permitted by these Regulations, is prohibited unless authorized by the Board of Trustees by the execution of a written license for its use.

ARTICLE II – MEMBERSHIP

- §1. The membership of the Association shall consist of Active Members, Youth Members and Distinguished Members, as defined in §§2-4 of this Article.

- §2. (a) Active Members of the Association may be natural persons or entities (including families, fiduciaries, proprietorships, partnerships, unincorporated associations or corporations, limited liability companies, or other recognized forms of business entity.
- (b) Active Members pay dues to the Association annually. Alternately, Active Members may elect to make a one-time payment of ten times the current annual dues, from which time the Active Member will be referred to as a “Lifetime Member” and further payment of dues shall be waived.
- §3. (a) Youth Members of the Association are natural persons aged twenty-one or younger as of the start of their membership year;
- (b) Youth Members pay dues to the Association annually.
- §4. (a) Distinguished Members of the Association are natural persons who have made extraordinary contributions to the development of the Trakehner breed and who have been elected as distinguished members by not less than a two-thirds majority vote of the members present and voting.
- (b) The payment of dues to the Association is waived for Distinguished Members as of the start of their membership year following the date of their election.
- §5. (a) All members of the Association who have been members for at least forty-five days prior to a membership meeting may participate in that meeting, provided that their dues are paid for the current year or waived under §§2 and 4 of this Article, and subject to the following:
- (1) An Active Member that is an entity must appoint a representative to exercise its right to vote.
 - (2) Youth Members may vote only if they are eighteen years old or older as of the date of the meeting.
 - (3) Except for Distinguished Members who are entitled to vote on all matters, a Member may vote on issues pertaining to registration or branding only if:
 - (i) as of the day of the vote, the Member is the record owner of a purebred Trakehner horse registered in either Division (A) or (E) of the Official Registry Book of the ATA, in Division 1 of the General Registry Book of the NATA, or in the Official Stud Book of either the ATA or the NATA; or
 - (ii) the Member has been a member of the Association continuously since prior to the Annual Meeting of the ATA on November 3-6, 1983.
- §6. Any person who is an individual Member or is associated as an owner, partner, shareholder, or principal with an entity Member may serve on any of the committees of the Association as chairperson or member, provided the person is at least eighteen years of age as of the date of joining the committee.
- §7. (a) Any person who is an individual Member or is associated as an owner, partner, shareholder, or principal with an entity Member is eligible to hold office as an officer or other member of the Board of Trustees, provided that as of the date of the election:
- (1) the person is at least eighteen years of age; and
 - (2) the individual Member or the entity Member with which the person is associated is the record owner of a purebred Trakehner horse registered in either Division (A) or (E) of the Official Registry Book of the ATA, in Division 1 of the General Registry Book of the NATA, or in the Official Stud Book of either the ATA or the NATA.
- §8. A person may become a Member of the Association by completing an application in a form approved by the Board of Trustees and paying dues as required by these Regulations and established by Association policy. Membership is non-transferable and dues are non-refundable.
- §9. The membership year will start on December 1 and end on November 30 for all members.

- §10. Members who have been dropped from membership for non-payment of dues may pay the dues for the current period at which time their membership shall be reinstated.

ARTICLE III – TERMINATION OF MEMBERSHIP

- §1. Membership and membership privileges in the Association shall be terminated for failure to pay dues timely as prescribed in Article II of these Regulations, or for cause, on the grounds and in accordance with the procedures specified in Part IV of these Regulations.

ARTICLE IV – BOARD OF TRUSTEES

- §1. The Association shall have a Board of Trustees, composed of: a President and Chairperson of the Board of Trustees; a Vice President; a Secretary; a Treasurer; the Immediate Past-President; and nine additional Trustees. It is recommended that at least one member of the Board of Trustees be a Canadian citizen.
- §2. The President and Chairperson of the Board of Trustees, the Vice President, the Secretary and the Treasurer shall be elected for a term of two years or until their successors are elected and the additional nine Trustees shall be elected for a term of three years or until their successors are elected, as provided in Part I, Article XI of these Regulations. All terms shall commence at the adjournment of the Trustees' fall meeting in the year of election. The Immediate Past President, unless removed from office for cause, shall serve as a Trustee until he or she formally resigns.
- §3. The President and Chairperson of the Board of Trustees and the Secretary shall begin their terms of office in an even-numbered year, and the Vice President and the Treasurer shall begin their terms of office in an odd-numbered year. Three of the nine additional Trustees shall begin their terms of office each year, so that each year three Trustees retire and three successor Trustees begin their terms.
- §4. Trustees may not succeed themselves in office, except as follows: the President, Vice President, and Secretary may succeed themselves in office for one additional term of two years; and the Treasurer may succeed himself or herself for an unlimited number of terms.
- §5. Trustees, including officers, may be suspended by a majority vote of the Board of Trustees and removed from office upon cause by the membership at an annual meeting or at a special meeting called for that purpose.

ARTICLE V – MEETINGS OF BOARD OF TRUSTEES

- §1. The Board of Trustees shall hold two regular meetings each year. The "fall meeting" shall be held at the location of and immediately preceding and immediately following the annual meeting of the membership. The "spring meeting" shall be held at such time and place as the Board determines at the preceding fall meeting.
- §2. (a) The Board of Trustees shall hold special meetings as necessary to conduct the business of the Association. Special meetings of the Board of Trustees shall be called by the President and Chairperson of the Board upon the written request of three or more Trustees and may be called by the President and Chairperson of the Board of his or her own volition. The President and Chairperson of the Board shall call special meetings by providing at least two days' notice of the time and place, if applicable, of the meeting directly to each Trustee by some appropriate means. Notice of special meetings to be held by electronic communication will be made by E-mail.
- (b) Special meetings of the Board may be held by any means of electronic communication (including teleconference, E-mail, or electronic conference), provided that all members of the Board of Trustees have access to the appropriate electronic meeting media and the technology used must allow all Trustees full access to and full participation in all meeting transactions either continuously or intermittently throughout the specified time of the meeting.
- (c) Special meetings conducted by E-mail or electronic conference shall conform with the following requirements:

- (1) The notice of the meeting will include a proposed agenda and designate a date on which the meeting is intended to begin [“starting date”], not less than two days after the date of the notice. The notice should also state a nominal end date of the meeting before which the meeting may not be adjourned.
- (2) Trustees will reply to the notice provided establishing their intent to participate in the meeting as of the starting date. A quorum will be established upon the response of a majority of Trustees from the date of the notice until one day after the starting date and, once established, shall be assumed present until the meeting is adjourned. Upon establishment of a quorum, the Chair will send a notice to all Trustees of the existence of a quorum and announcing that the meeting has begun.
- (3) Trustees may join the meeting after it has begun. Trustees are considered “present” if they have the ability, electronically, to follow the course of the meeting and participate fully, if intermittently, over an extended period of time.
- (4) All communications shall be addressed to the Chair and should be copied to each Trustee.
- (5) The affirmative vote of a majority of the quorum shall be the minimum vote required for the adoption of any motion. A majority of the votes cast, or a greater proportion as indicated by these Regulations or the current edition of Robert’s Rules of Order Newly Revised, shall be necessary for the adoption of motions.
- (6) After the meeting has started, the procedure for each agenda item will be as follows: the Chair will offer to entertain a motion on the first agenda item. After a motion is made, discussion and debate may commence after a second on the motion. A period of time not less than one day may be set for the discussion. At the end of the discussion, the Chair will call for a vote on the motion. The time allotted to debate on a motion may be extended for good reason and if secondary motions are made in the course of the debate.
- (7) The minutes of these meetings shall be kept by the Secretary or a person appointed by the Chair to act in the Secretary’s absence and shall be communicated to each Trustee whether or not they participated in the meeting.

ARTICLE VI – DUTIES OF BOARD OF TRUSTEES

§1. The Board of Trustees shall:

- (a) transact the general business of the Association in the interim between annual membership meetings;
- (b) establish major administrative policies governing the affairs of the Association and devise and develop policies for the Association's growth and development;
- (c) provide for the maintenance of an Association office that is the center of activities of the Association;
- (d) provide for proper care of materials, equipment and funds of the Association, for the composition of Association budgets and allocation of funds to committees, for the payment of legitimate expenses, and for the annual auditing of all books of account by a nonmember certified public accountant;
- (e) in its discretion, appoint an Executive Director and such other administrative personnel as may be necessary and define the duties and fix the compensation of such administrative staff persons;
- (f) appoint the chairpersons of standing committees as provided in these Regulations;
- (g) appoint special committees as may be needed from time to time;
- (h) determine the exact date and place for holding the annual meetings and meetings of the Board of Trustees and provide for the payment for the place of such meetings when necessary; and
- (i) review and determine, as may be necessary, the membership dues and fees payable to the Association.

ARTICLE VII – OFFICERS

§1. The officers of this Association shall be a President and Chairperson of the Board of Trustees, a Vice President, a Secretary, and a Treasurer.

- §2. The officers of the Association shall perform the duties usually performed by such officers and other duties provided in this Article.
- §3. The President and Chairperson of the Board of Trustees shall preside at meetings of the Board and serve as an ex officio member of all committees except the Nominations Committee.
- §4. The Vice President shall assume duties that are assigned to the Vice President by the Board of Trustees. In the absence of and/or the incapacity of the President and Chairperson of the Board of Trustees, the Vice President shall assume the duties of the President and Chairperson of the Board of Trustees. In the event of a vacancy occurring in the office of the President and Chairperson of the Board of Trustees, the Vice President shall serve as President and Chairperson of the Board of Trustees until the adjournment of the next annual meeting of the membership or until the Vice President's successor is elected.
- §5. (a) The Secretary shall keep the minutes of all meetings of the Association and of the Board of Trustees and maintain the current Corporate Regulations. The Secretary shall be the official custodian of all papers, letters, and transactions of the Association, and of the corporate seal, all of which may be kept in the Association office or elsewhere as provided in these Regulations or at the direction of the Board of Trustees.
- (b) The retiring Secretary shall deliver within one month of retirement all Association property in his or her possession to the successor Secretary or, if none has been elected or appointed, to the President and Chairperson of the Board of Trustees.
- §6. (a) The Treasurer shall report to the Board of Trustees the financial standing of the Association whenever requested to do so and publish a financial report to the membership after the adjournment of the Spring meeting of the Board of Trustees.
- (b) With the approval of the Board of Trustees, certain of these functions may be delegated to the Executive Director, subject to the supervision of the Treasurer.
- (c) The Association shall arrange, if available at a commercially reasonable price, for the purchase of crime insurance in an amount sufficient to cover the total exposure (as measured in assets) to loss of the Association. Such insurance shall provide coverage for
- (i) office employees; and
- (ii) officers while performing functions ordinarily performed by an employee.
- (d) The accounts of the Treasurer shall be audited annually by a non-member certified public accountant approved by the Board of Trustees.
- (e) The retiring Treasurer shall deliver within one month of retirement all money, vouchers, books, papers, and other property of the Association in his or her possession, and a supplemental report covering all transactions from the close of the last annual membership meeting to the making of the supplemental report, to the successor Treasurer or, if none has been elected or appointed, to the President and Chairperson of the Board of Trustees.
- §7. The retiring President and Chairperson of the Board and the retiring Vice President shall, upon resignation or the expiration of their term of office, surrender all Association property in their possession to the person that has assumed the duties of President and Chairperson of the Board.
- §8. (a) Should the President and Chairperson of the Board of Trustees vacate the office for any reason, the Vice President will serve as President and Chairperson of the Board as well as continuing as the Vice President until a successor President and Chairperson of the Board is elected by the membership. In the case of a Vice President, Treasurer or Secretary vacancy the majority of the Board of Trustees will choose a Trustee to serve in that office vacancy until a successor is elected by the membership to serve out the remainder of the term.
- (b) In the absence of all officers, a meeting to select replacement officers may be called by the Trustee who has been a member of the Association for the longest period of time.

ARTICLE VIII – STANDING COMMITTEES

- §1. Committees shall be composed of members of the Association that are eighteen years old or older at the time of joining the committee, and their duties shall be as specified in these Regulations and as assigned by the Board of Trustees.
- §2. Except in the case of the Finance and Risk Management, Inspection, Inspection Committee Review and Nominations Committees, at each fall meeting the Board of Trustees shall select a member to serve as the chairperson of each standing committee for the ensuing year. If the chairperson is not a trustee, the Board shall also select a trustee to serve as a member of each standing committee. Each standing committee chairperson may select other members to serve on the committee.
- §3. The duties of the chairpersons of the committees shall consist of convening the committees for meetings, presiding at meetings of the committees and making appropriate recommendations to the Board of Trustees for taking corporate action.
- §4. Committee meetings shall be called by the chairperson upon giving at least two days' notice to the committee members or may be scheduled by agreement of the members at their previous meeting. Meetings may be held at a location agreeable to the committee members or by any means of electronic communication (including teleconference, E-mail, or electronic conference) by which all committee members are able to participate fully in the discussions and voting of the meeting. The minutes of these meetings shall be communicated to all committee members, including those who did not participate.
- §5. The Association shall maintain the following standing committees:
- (a) Advertising
 - (b) Annual Meetings
 - (c) Awards
 - (d) Branding
 - (e) Corporate Regulations and Grievances
 - (f) Education
 - (g) Finance and Risk Management
 - (h) Membership
 - (i) Nominations
 - (j) Publications
 - (k) Public Relations
 - (l) Registration
 - (m) Inspections
 - (n) Inspection Committee Review
- §6. The Committee on Advertising shall develop and implement programs approved by the Board of Trustees for communicating with the public at large concerning the Trakehner horse of East Prussian origin and the role of the Association in promoting the Trakehner horse. The Committee shall coordinate its activities with those of the Committee on Public Relations.
- §7. The Committee on Annual Meetings shall be responsible for planning the program for annual meetings under the general direction and subject to the approval of the Board of Trustees.
- §8. The Committee on Awards shall develop a policy for accepting nominations and making awards to members and horses registered with the Association and, subject to the approval of the Board of Trustees, determine the recipients of awards and publish the results.
- §9. The Committee on Branding shall govern the branding of horses pursuant to Part III of these Regulations, select current Association members to act as branding representatives, and develop policies to assist it in the performance of its responsibilities, subject to the approval of the Board of Trustees.
- §10. The Committee on Corporate Regulations and Grievances shall review the Articles of Incorporation and the Corporate Regulations of the Association and make recommendations for amendments thereto. The Committee shall investigate and decide all complaints referred by the Board of Trustees as provided in Part IV of these Regulations.
- §11. The Committee on Education shall coordinate all activities of the Association dealing with the development of educational materials, programs, clinics and events for the membership and develop policies to assist it in the performance of its responsibilities, subject to the approval of the Board of Trustees.
- §12. (a) The Committee on Finance and Risk Management, whose chair shall be selected by its members, shall be composed of five individuals: the Association President, the Treasurer, and three others to be appointed by the Board. When one of those

members ceases to serve on the Committee, his or her replacement will be chosen by the remaining Committee members, subject to and upon approval of the Board. The Executive Director shall participate in all meetings.

- (b) The Committee on Finance and Risk Management shall review and monitor the treasury function, assess financial and operational risk; develop and recommend to the Board policies governing investments and risk management, and implement such approved policies.
- §13. The Committee on Membership shall take all appropriate actions for the marketing, encouragement and conservation of membership in the Association and develop policies to assist it in the performance of its responsibilities, subject to the approval of the Board of Trustees.
- §14. (a) The Committee on Nominations, whose chair shall be selected by its members, shall consist of five voting members of the Association, including two members appointed by the Board of Trustees and three members who shall be elected as hereinafter provided in Part I, Article XI, of these Regulations.
- (b) The Committee on Nominations shall review the applications and qualifications of members to hold office in the Association as Trustees and make appropriate recommendations to the membership for the filling of all vacancies on the Board of Trustees at each annual meeting of the Association. The Committee shall formalize its recommendations for publication to the membership of the Association at least 30 days prior to the annual meeting.
- §15. The Committee on Publications shall oversee the publication of *The American Trakehner*, the official journal of the Association, and such other print and electronic publications as the Board of Trustees may from time to time direct. The Committee shall constitute the Editorial Board of all Association publications.
- §16. The Committee on Public Relations shall act as a liaison between the Association, its officers, committees, administrative staff and equine publications for the promotion of the activities of the Association and the Trakehner breed, furnish news items and articles of interest to the news media for the purpose of promoting the Trakehner breed, and serve as a source of information concerning the Association's activities and the Trakehner breed. The Committee shall coordinate its activities with the Committee on Advertising.
- §17. The Committee on Registration shall consist of at least seven members of the Association, one of whom shall be a member of the Committee on Inspections, and shall supervise the registration of horses in accordance with Part II of these Regulations. The Committee shall compile, maintain and supervise the Official Stud Book, the Preliminary Stud Book, the Official Registry Book and the Official Appendix Book of the Association in accordance with the rules specified in Part II of these Regulations.
- §18. The Committee on Inspections shall consist of at least five members, including a chairperson who will be selected by the Board of Trustees, each of whom shall serve a term of three years, and from which the Committee on Inspections shall select inspectors as required. The Committee on Inspections shall inspect stallions, mares, and young stock as provided for in Part II of these Regulations and develop policies to assist it in the performance of its responsibilities, subject to the approval of the Board of Trustees.
- §19. The Committee on Inspection Committee Review shall be composed of the past presidents of the Association and members of the Board of Trustees, selected by the Board of Trustees, to form a committee of five members. The Committee shall be responsible for the review of members of the Committee on Inspections at the expiration of their three-year terms and give recommendations as to their retention to the Board of Trustees.
- §20. The standing committees of the Association shall report to the Board of Trustees at its semi-annual meetings and to the membership at the annual meeting of the Association. If the business of the committee requires, it may report to the Board of Trustees and membership more frequently at such times and in such form as the chairperson of the committee deems necessary.

ARTICLE IX – ADMINISTRATIVE STAFF

- §1. The administrative staff of the Association may consist of an Executive Director and such other personnel as may be necessary, who are appointed by the Board of Trustees.
- §2. The Executive Director shall perform, or supervise the performance of, the administrative operations of the Association

- §3. The duties of the Executive Director shall include such duties as may be necessary to implement these Corporate Regulations and the policy decisions made by the Board of Trustees, to perform and coordinate the administrative duties of the offices of Secretary and Treasurer of the Association together with the respective officers, and to assist the standing and special committees of the Association in the performance of their activities. The duties of the Executive Director shall be performed under the general supervision of the President and Chairperson of the Board of Trustees and coordinated with such other officers of the Association as may be necessary.
- §4. The specific responsibilities and duties assigned to the Executive Director and other administrative staff in accordance with this Article, together with any compensation to be paid for the performance of those duties, shall be determined by the Board of Trustees.

ARTICLE X – INDEMNIFICATION

- §1. The Association shall indemnify each person who is or was a trustee, officer, employee or committee member of the Association (including heirs, legal representatives or the estate of any such persons), or is or was serving at the written request of the Association as a trustee, officer, employee or agent of another corporation or association, partnership, joint venture, trust, or other enterprise, as to any act or omission taken in his or her official capacity as trustee, officer, employee or agent of the Association or of such other organization as described above to the full extent permitted by the Ohio Revised Code, or any successor provisions of the laws of the State of Ohio. This indemnification shall include, without limitation, reasonable expenses, attorneys' fees, judgments, fines and settlements incurred as a result of civil, criminal, administrative, or investigative actions and proceedings, except actions brought by or in right of the Association itself, provided that the individual acted in good faith and in a manner which he/she believed was in, or not opposed to, the best interests of the Association.
- §2. The indemnification set forth in §1 of this Article shall apply to criminal proceedings only if the individual has no reason to believe that his/her conduct was unlawful. The adverse termination of an action or proceeding does not create the presumption that the individual lacked good faith or was behaving illegally. Such indemnification shall be made only in accordance with the laws of the State of Ohio and subject to the conditions prescribed herein. The amount of indemnification shall be determined in the manner prescribed by the Ohio Revised Code Annotated. This indemnification obligation of the Association set forth herein shall not be deemed exclusive of any other rights, in respect of indemnification or otherwise, to which any party may be entitled under any other bylaw provision or resolution approved by the members in accordance with the Ohio Revised Code Annotated.
- §3. Insurance: The Association may purchase and maintain insurance at its expense, to protect itself and any of its trustees, officers, employees, or agents against any such liability, cost, payment or expense as described in this Article X whether or not the Association would have the power to indemnify such person against such liability.

ARTICLE XI – ELECTIONS

- §1. Elections shall be held at each annual meeting of the Association at which time trustees to succeed those whose terms then expire, or to fill any existing vacancies, shall be chosen by the members present and voting.
- §2. Nominations for trustees and officers shall be made by the Committee on Nominations, through its chairperson, at the annual meeting of the Association in accordance with the procedures specified in Article VIII, §13. Any voting member of the Association may also nominate other members at the meeting to fill any existing vacancy.
- §3. Nominations for the three elected members of the Committee on Nominations shall be made by voting members of the Association at the annual meeting.
- §4. The election of trustees and of the three members of the Committee on Nominations shall take place immediately upon the closing of nominations and a majority vote of the members present and voting shall constitute an election. In the event of a tie vote, the vote shall be recast until a majority is established.
- §5. Elections shall be conducted, at the discretion of the presiding officer, either by voice vote, show of hands, roll call or ballot, of the members present and voting. However, voting must be conducted by ballot when more than one person has been nominated for an office.

ARTICLE XII – MEMBERSHIP MEETINGS

- § 1. The annual meeting of the membership shall be held during the second half of each calendar year. The exact time and place of annual meetings shall be designated by the Board of Trustees and published.
- §2. The President and Chairperson of the Board, or the Vice President acting for the President and Chairperson of the Board, may call special meetings of the membership of his or her volition, and shall call special meetings of the membership if resolved by a majority of the trustees acting at a meeting of the Board of Trustees or if requested in writing by ten percent of the members entitled to vote. An official notice of such meeting shall be given to each member entitled to vote by some appropriate means not less than ten nor more than sixty days prior to the date of the meeting.
- §3. The order of business at each annual meeting of the membership shall be fixed by the Board of Trustees at its meeting immediately preceding the membership meeting and shall include, among other things:
- (a) Introductions and Announcements
 - (b) Call for Quorum
 - (c) Approval of the Agenda for the Current Meeting
 - (d) Report of the Secretary
 - (e) Report of the Treasurer
 - (f) Reports of the Standing Committees
 - (g) Reports of Special Committees
 - (h) Old Business
 - (I) New Business
 - (j) Elections
 - (k) Report of the President (discretionary)
- § 4. The order of business at any special meeting of the membership shall be determined by the purpose for which the meeting is called.

ARTICLE XIII – QUORUM

- §1. A majority of the members of the Board of Trustees, including the President and Chairperson of the Board, or the Vice President, and at least twenty other members of the Association shall constitute a quorum for the transaction of business at any meeting of the membership of the Association.
- §2. A majority of the Board of Trustees, including the President and Chairperson of the Board, or the Vice President, shall constitute a quorum at any meeting of the Board of Trustees.
- §3. A majority of the members of any standing committee or any special committee shall constitute a quorum at any meeting of that committee.

ARTICLE XIV – FISCAL YEAR

- §1. The fiscal year shall be from January 1 to December 31.

ARTICLE XV – PARLIAMENTARY AUTHORITY

- §1. The rules contained in the current edition of Robert's Rules of Order Newly Revised shall govern meetings of the Association in all cases to which they are applicable and in which they are not inconsistent with these Regulations.

ARTICLE XVI – AMENDMENTS

- §1. These Regulations shall be amended at any annual meeting by vote of the members present, eligible to vote pursuant to Article II §5, and voting, subject to the following:

- (a) Proposed regulations or amendments that do not govern registration or branding and that have been published by the Association to the members in advance of the annual membership meeting shall be adopted by a majority vote of members present and voting.
- (b) Proposed regulations or amendments that do not govern registration or branding and that have not been published by the Association in advance of the annual membership meeting shall be adopted by a two-thirds vote of members present and voting.
- (c) Proposed regulations or amendments that govern registration or branding shall be adopted by a two-thirds vote of members present and eligible to vote on such regulations pursuant to Article II §5 of these Regulations, whether or not the proposed regulations or amendments have been published in advance of the annual membership meeting.

PART II – REGISTRATION

ARTICLE I – BREEDING AND REGISTRATION GOAL

- §1. The Association is committed to adhere to the highly selective breeding standards practiced in Trakehnen, East Prussia, and continued in Germany by the Trakehner Verband in the breeding of the horse of Trakehner origin. By practicing these standards the Association desires to encourage the development of a performing horse of beauty and harmony, great endurance, mental and physical balance, and possessing an excellent character.

ARTICLE II – GENERAL REGISTRATION STANDARDS

- §1. Soundness, obedience, willingness to work and elegant, flowing and elastic movements are the dominant characteristics of the Trakehner breed.
- §2. Small noble heads are desirable as they show nerve and energy. The neck should be set properly and of significant length. Withers should be pronounced clearly and visible, the shoulder should be long and sloping and the back should be of medium length. The croup should be long, muscular and of oval shape. A deep and wide rib cage should be connected to a substantial frame, representing a horizontal rectangle (in contrast to the square frame of the Thoroughbred) with large joints.
- §3. The recommended minimum size for stallions as two and one-half-year olds is 15.3 hands in height with a heart girth of 72 inches and a cannon bone of 7.5 inches.
- §4. The recommended minimum size for mares is 15.1 hands in height with a heart girth of 70 inches and a cannon bone close to 7.5 inches.

ARTICLE III – OFFICIAL REGISTRY BOOK

- §1. The Official Registry Book of the Association shall consist of five divisions:
- (A) Purebred Trakehner, composed of: Trakehner horses by an approved Trakehner stallion and out of an approved, ATA or NATA registered Trakehner mare with a documented pedigree showing at least five generations of approved Trakehner horses and no more than one horse other than a Trakehner, Thoroughbred, or Arabian, or crosses thereof, in the sixth generation. For purposes of definition of a purebred, a horse's parents are considered the first generation, its grandparents the second generation, etc.;
 - (B) Anglo-Trakehner, composed of: Anglo-Trakehner horses by an approved stallion of Trakehner origin and out of a Thoroughbred mare registered with the Jockey Club or an equivalent organization, or an ATA or NATA (Division 5) registered Anglo-Trakehner mare;
 - (C) Arab-Trakehner, composed of: Arab-Trakehner horses by an approved Trakehner stallion and out of:
 - (1) an Arabian mare registered with the Arabian Horse Registry of America, Inc., or the Canadian Arabian Horse Registry, or the World Arabian Organization;
 - (2) an Anglo-Arab mare registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry;
 - (3) a Shagya-Arabian mare or an Anglo-Shagya mare registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society); or
 - (4) an ATA or NATA (Division 6) registered Arab-Trakehner mare.
 - (D) Part-Trakehner, composed of part-Trakehner horses by an approved Trakehner stallion and out of a mare other than a Trakehner, Thoroughbred or Arabian mare or out of an ATA or NATA (Division 4 or 7) registered Part-Trakehner mare.

- (E) Imported Trakehner, composed of: Trakehner horses by an approved Trakehner stallion, out of an approved Trakehner mare, imported into the Western Hemisphere with papers establishing purity of breeding and an unbroken, properly authenticated pedigree of five generations of approved Trakehner horses. Required approvals must have been granted by the Trakehner Verband or an association affiliated with the Trakehner Verband.

ARTICLE IV – HORSES ELIGIBLE FOR INCLUSION IN THE OFFICIAL REGISTRY BOOK

- §1. Any horse registered pursuant to this Article shall receive a registration number without any inspection for conformation or performance.
- §2. All horses to be registered in the Official Registry Book must be DNA-typed and their parentage verified at their owner's expense. Horses to be registered in Division D of the Official Registry Book need DNA verification only of their Trakehner parentage.
- §3. Registration numbers shall be consecutive and assigned chronologically according to the earliest completed application for registration.

ARTICLE V – PRELIMINARY STUD BOOK

- §1. The Preliminary Stud Book of the American Trakehner Association, Inc., shall consist of two divisions:
 - (B) Anglo-Trakehner and Thoroughbred mares;
 - (C) Arab-Trakehner, purebred Arabian, Anglo-Arab, and Shagya-Arabian mares.
- §2. In the year in which they turn three, or later, the following mares may be eligible for registration in the Preliminary Stud Book upon application to the Registration Committee and personal inspection by the Inspection Committee:
 - (a) Mares registered in Division B or C of the Official Registry Book or Division B or C of the Official Appendix Book of the ATA, or Division 5 or 6 of the General Registry Book of the NATA;
 - (b) Thoroughbred mares registered by the Jockey Club or an equivalent organization;
 - (c) purebred Arabian mares registered by the Arabian Horse Registry of America, Inc., the Canadian Arabian Horse Registry or the World Arabian Organization;
 - (d) Anglo-Arab mares registered as such by the International Arabian Horse Association or the Canadian Partbred-Arabian Registry; and
 - (e) Shagya-Arabian or Anglo-Shagya mares registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society).
- §3. First generation offspring of mares registered in the Preliminary Stud Book pursuant to §2 of this Article by unrestricted stallions approved by the American Trakehner Association are eligible for the purebred Trakehner brand defined in Part III, Article I, §1, of these regulations and may be eligible for transfer to the Official Stud Book upon application to the Registration Committee and personal inspection by the Inspection Committee.
- §4. All horses to be registered in the Preliminary Stud Book must be DNA-typed and their parentage verified at the owner's expense. All full or part-Arabian horses must present a certificate indicating that they are "clear" of Severe Combined Immunodeficiency (SCID).
- §5. Registration numbers shall be consecutive and assigned chronologically according to the earliest completed application for registration.

ARTICLE VI – OFFICIAL STUD BOOK

- §1. The Official Stud Book of the Association shall consist of two divisions:
- (A) Trakehners foaled in the Western Hemisphere;
 - (E) imported Trakehners.

ARTICLE VII – HORSES ELIGIBLE FOR INCLUSION IN THE OFFICIAL STUD BOOK

- §1. (a) In the year in which they turn three, or later, all purebred Trakehner fillies registered with the Association in accordance with Articles III and IV of Part II of these regulations or in Division 1 of the General Registry Book of the NATA shall be granted approval as broodmares and included in the Official Stud Book of the Association upon application to and the recommendation of the Registration Committee and upon personal inspection by a member or members of the Inspection Committee.
- (b) If a purebred Trakehner mare dies before the mare is inspected for the Official Stud Book, the mare may be entered posthumously if the mare's identity has been confirmed by genetic typing. However, this breeding approval is applicable only for the mare's last-born foal.
- §2. (a) Two-year-old and older third generation Anglo-Trakehner and Arab-Trakehner colts (7/8 Trakehner) may apply to the Registration Committee for transfer to the Official Stud Book under the same conditions as stated in §3 of this Article.
- (b) In the year in which they turn three, or later, third generation Anglo-Trakehner fillies (7/8 Trakehner) registered in Division B of the Official Registry Book or the Official Appendix Book or in Division 5 of the General Registry Book of the NATA, third generation Arab-Trakehner fillies (including Anglo-Arab and Trakehner crosses) registered in Division C of the Official Registry Book or the Official Appendix Book or in Division 6 of the General Registry Book of the NATA may apply to the Registration Committee for transfer to the Official Stud Book. Eligibility for such transfer will be determined upon personal inspection by a member or members of the Inspection Committee.
- (c) In the year in which they turn three, or later, fourth generation (15/16 Trakehner) part-Trakehner fillies registered in Division D of the Official Registry Book and tracing back without a break to a mare registered with the ATA in 1983 or earlier are eligible for transfer to the Official Stud Book if deemed acceptable after personal inspection by a member or members of the Inspection Committee.
- (d) If the ATA Inspection Committee considers them to have an outstanding performance record as sport horses, the following horses may also be presented for breeding approval as outlined in §3(a) and (b) of this Article:
- (1) Thoroughbred stallions registered with the Jockey Club or an equivalent organization;
 - (2) Arabian stallions registered with the Arabian Horse Registry of America, Inc., the Canadian Arabian Horse Registry or the World Arabian Organization (WAO);
 - (3) Anglo-Arab stallions registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry; or
 - (4) Shagya-Arabian and Anglo-Shagya stallions registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society).
- The required performance record may be from racing, on the flat or over obstacles, from endurance competitions, or any of the Olympic disciplines.
- (e) Foals by approved stallions as defined in §2 (d), of this Article must have at least 50 percent Trakehner blood to be eligible for registration in any book of the Association. For registration in Division A of the Official Registry Book, the dams of the foals must not have a Thoroughbred or Arabian parent.
- §3. (a) All Trakehner colts registered with the Association in accordance with Articles III and IV of Part II of these regulations by an approved Trakehner stallion and out of an approved Trakehner mare, if intended as a breeding stallion, must be presented at the minimum age of two years, to an inspection team selected by the Board of Trustees for the inspection and

approval of the colt as a breeding stallion. Upon approval thereof, the colt, if owned by an active member of the Association, shall be eligible for transfer to the Official Stud Book of the Association and an appropriate certificate of registration will be issued certifying the stallion as an approved breeding stallion of the Association.

- (b) Stallions to be presented for approval as breeding stallions under this section shall be presented to the inspection team at a central location to be determined at the discretion of the Inspection Committee. However, in the case of obvious hardship, the inspection may, upon approval by a majority of the Board of Trustees, be conducted at a location convenient to the stallion owner and at the stallion owner's expense.
 - (c) (1) Within two years from the approval, or by the end of the competition year in which he reaches his sixth birthday, whichever is later, the stallion must complete a United States Eventing Association (USEA) or Equine Canada sanctioned three-phase combined training event. The minimum required level of competition is determined by the age of the stallion at the time of the qualifying event. The age of the stallion is determined by his foaling date. Prior to the date of the stallion's sixth birthday, the stallion must compete at the Novice (USEA) or Pre-Training (Equine Canada) level. After the stallion's sixth birthday, the stallion must compete at a USEA or Equine Canada sanctioned three-phase combined training event at the Training level or above. If the competition includes the date of the stallion's sixth birthday, the stallion must compete at the Training level. A statement of completion must be signed by the event secretary or chief judge and be furnished to the Association on a form provided for that purpose by the Association. Upon completion of this requirement, the approval is final.
 - (2) If a stallion fails to complete the performance requirement specified in subparagraph (1), above, his approval will be withdrawn. Foals conceived after withdrawal of the approval are not eligible for registration in the Official Registry Book. As soon as documentation is provided that the stallion has completed the performance requirement at the level specified in subparagraph (1), above, for his age, his approved status may be reinstated.
 - (3) A stallion who has failed to complete the performance requirement specified in subparagraph (1), above, and has lost his approval may have his approval re-instated at a later date if documentary evidence is provided that he has fulfilled the requirements of a *Ps* award as specified in the ATA Performance Award Program in effect at that time.
 - (4) However, if the foals of a stallion indicate that the stallion is passing serious genetic defects, the Registration Committee may recommend to the Board of Trustees that the stallion be disapproved as a breeding stallion at which time the Board of Trustees may then withdraw the previously granted approved breeding status upon a two-thirds vote. Foals from stallions not meeting final approval conceived before approval is withdrawn shall remain, or be, eligible under these regulations for registration in the Official Registry Book.
- §4. Qualification for entry into the Official Stud Book under §§1, 2 and 3 of this Article must be verified based upon the horse's original registration certificate, veterinarian certificates and radiographs in the case of colts, and personal inspection by a member or members of the Inspection Committee. Stallions shall be inspected by at least three Inspection Committee members.
- §5. Stallions or mares presented to the Inspection Committee for transfer to the Official Stud Book or the Preliminary Stud Book and not approved may be re-inspected at a future inspection upon application and payment of the required fee by the then owner of the stallion or mare.
- §6. Any exceptions to the foregoing rules governing registration in the Official Stud and Registry Books may be made only if it is in the interest of the Trakehner breed and then only upon a two-thirds vote of the Trustees of the Association.
- §7. All horses to be registered in the Official Stud Book must be DNA-typed and their parentage verified at the owner's expense. All full or part-Arabian horses must present a certificate indicating that they are "clear" of Severe Combined Immunodeficiency (SCID).
- §8. Registration numbers shall be consecutive and assigned to approved breeding horses chronologically according to the earliest completed application for registration.

ARTICLE VIII – OFFICIAL APPENDIX BOOK

- §1. The Official Appendix Book of the Association shall consist of five divisions:

(A) Purebred Trakehner Horses composed of:

- (1) Horses by an approved Trakehner stallion out of a purebred Trakehner mare registered in Division A or E of the Official Registry Book of the ATA or in Division 1 of the General Registry Book of the NATA as well as their offspring by approved Trakehner stallions.
- (2) Fillies and mares registered in Division A of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these regulations, and have a dam that is purebred Trakehner through at least five generations may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association.
- (3) Colts registered in Division A of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

(B) Anglo-Trakehner Horses composed of:

- (1) Horses by a stallion registered with the Jockey Club or an equivalent organization and out of a Trakehner mare registered in the Official Stud Book of the ATA or the NATA, and their first, second and third generation offspring by approved Trakehner stallions.
 - (i) Daughters of mares registered in Division B of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these Regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Preliminary Stud Book of the Association under the same conditions as fillies registered in Division B of the Official Registry Book.
 - (ii) Third generation, or later, fillies and mares registered in Division B of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these Regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association under the same conditions as fillies registered in Division B of the Official Registry Book.
- (2) Colts registered in Division B of the Official Appendix Book are ineligible for transfer to the Preliminary Stud Book or the Official Stud Book of the Association.

(C) Arab-Trakehner Horses composed of:

- (1) Arab-Trakehner horses by an Arabian stallion registered with the Arabian Horse Registry of America, Inc., the Canadian Arabian Horse Registry, or the World Arabian Organization (WAO), or by an Anglo-Arabian stallion registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry, or by a Shagya-Arabian stallion or an approved Anglo-Shagya stallion registered with an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society) and out of a Trakehner mare registered in the Official Stud Book of the ATA or the NATA and their first, second and third generation offspring by approved Trakehner stallions.
 - (i) Daughters of mares registered in Division C of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Preliminary Stud Book of the Association under the same conditions as fillies registered in Division C of the Official Registry Book.
 - (ii) Third generation, or later, fillies and mares registered in Division C of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these Regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association under the same conditions as fillies registered in Division C of the Official Registry Book.
- (2) Colts registered in Division C of the Official Appendix Book are ineligible for transfer to the Preliminary Stud Book or the Official Stud Book of the Association.

- (D) (1) Horses ineligible for registration in any other division of any of the Official Stud Book, Registry Book or Appendix Book of the Association which carry a total of at least 50 percent Trakehner blood.

- (2) No horse registered in Division D of the Official Appendix Book is eligible for transfer to the Preliminary Stud Book or the Official Stud Book of the Association.

(E) Imported Trakehners composed of:

- (1) Horses registered in the Stutbuch (Stammbuch) of the Trakehner Verband or in a corresponding book of an association affiliated with the Trakehner Verband and branded with the single moose antler brand with loop or its equivalent from the affiliated association.
 - (i) Fillies and mares registered in Division E of the Official Appendix Book, if they meet the general standards of the breed as defined in Part II, Article II, of these regulations, and have at least five generations of Trakehners on the dam's side, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association. Colts registered in Division E of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

- § 2. All horses registered in the Official Appendix Book must have their DNA type on file and have their parentage verified at their owner's expense. Horses registered in Division D of the Official Appendix Book need DNA verification only of their Trakehner parentage..

ARTICLE IX – REGISTRATION OF IMPORTED HORSES

- § 1. The owner at the time of importation to North America of any imported horse eligible for registration under Part II, Articles III to VIII of these Regulations may apply for registration of the horse by: completing a registration form provided by the Association; paying the current Association registration fees as set by the Board of Trustees; and submitting proof of ownership, the import form showing date of importation, the registration certificate, or the equine passport. The registration certificate or equine passport must bear the markings of the horse.
- §2. A foal imported in utero from outside of North America and born in North America may be registered with the Association if, at the time of foaling, the foal's dam is registered in the name of her current owner. To register the foal, the owner must complete a registration form provided by the Association, pay the current Association registration fees as set by the Board of Trustees; and supply evidence satisfactory to the Registration Committee, such as an affidavit of the owner of the foal's sire at the date of service, to establish the date of breeding and that the sire is of pure Trakehner origin.
- §3. Any imported Trakehner mare or stallion previously approved for breeding by the Trakehner Verband or an association affiliated with the Trakehner Verband shall be approved for inclusion in the Official Stud Book providing the regulations governing registration in this Article are observed.

ARTICLE X – PERSONAL INSPECTION

- §1. A personal inspection of any horse may be made by the Inspection Committee as a whole, by a member of the committee selected by the committee or some other person recommended by the committee, and approved by the Board of Trustees.

ARTICLE XI – BREEDING REQUIREMENTS

- §1. For a foal to be eligible for registration in the Official Registry Book of the Association:
- (a) the sire, if standing on the North American continent, must be registered in the Official Stud Book of the ATA or the NATA and the owner, or lessee, if applicable, of the stallion must be a member in good standing, of the Association;
 - (b) the sire, if he is deceased at the time his frozen semen is used, must have been duly registered and approved by the ATA or the NATA, the Trakehner Verband, or one of its affiliated organizations at the time the semen was collected and frozen;
 - (c) the foal's DNA report must be on file with the Association.

- §2. (a) If the owner of an approved stallion not standing, or never having stood, in North America desires, he may register his stallion in the Official Stud Book of the Association. In that case, the owner, or his North American agent, must be a member in good standing of the Association. The owner or agent must pay the same fees for the stallion and issue the same reports and certificates as the owners of stallions standing in North America.
- (b) Foals produced by frozen semen from approved stallions not standing in North America and not registered in the Official Stud Book of the Association are assessed the registration fees levied for imported horses. The DNA type of such stallions must be provided to the Association.
- §3. Foals produced by transfer of the embryo to a recipient mare, otherwise meeting registration requirements, will be registered if the following conditions are met:
- (a) the donor mare's DNA type is on file with the Association;
- (b) the resulting foal is DNA typed and, if there is a question about its parentage, the DNA type of the recipient mare shall also be considered;
- (c) all breeding and embryo transfer dates are properly documented.
- §4. All ATA approved stallions must be genetically typed by an approved laboratory under contract to the Association prior to being used for breeding purposes, and the official notification of the stallion's DNA type shall be filed with the Association.
- §5. For a foal to be eligible for registration in the Official Appendix Book of the Association:
- (a) the foal's DNA must be on file with the Association;
- (b) all Trakehner, Thoroughbred and Arabian sires must have their DNA on file with the Association;
- (c) all dams with Trakehner parentage must have their DNA on file with the Association;

ARTICLE XII – STALLION BREEDING REPORT

- §1. On or before January 31 of each year, the owner or lessee of each active approved stallion registered with the Association, or the owner of frozen semen from an approved stallion registered with the Association, as the case might be, must submit a stallion breeding report on a form provided by the Association, together with the required fee, listing, where possible, all mares bred to the stallion or with the stallion's semen, during the preceding calendar year, whether such mares were determined in foal or not.
- §2. The stallion breeding report shall include the mare's registration number, the mare's registered name, the name and address of the owner, or lessee if applicable, at the time of service, and all breeding or shipment dates or dates of exposure as well as the method of breeding employed.
- §3. Each stallion breeding report shall be signed by the record owner, or the lessee if applicable, of each stallion at the time of service, or by the owner of the dispensed frozen semen, as the case might be. Failure to file a complete and timely stallion breeding report as specified in §§1 and 2 of this Article shall subject the owner to a late fee and cause the registration of the resulting foal to be denied until the breeding report is filed and fees are paid.
- §4. On the annual breeding report, the record owner or lessee of the stallion shall indicate, if applicable, that the ATA has a release to register the resulting foal of a particular mare.
- §5. Stallion breeding reports must be filled out completely, signed and dated by the record owner, lessee of the stallion, or authorized representative and must contain all requested information in order to validate any mare releases.
- §6. Foals conceived through frozen semen from approved Trakehner stallions that are not on "active" status in the ATA shall be registered, for an additional fee, on the basis of their DNA parentage verification.

§7. Breeding certificates issued prior to December 31, 2010, will be accepted as an alternative to a release on the breeding report.

ARTICLE XIII – BREEDING LEASES OF STALLIONS AND MARES

- §1. If an approved stallion is leased, the Association must be notified of the leasing arrangement and advised as to which person is responsible to pay fees and authorized to submit breeding reports required to maintain the stallion in good standing with the Association, otherwise reports filed by persons other than the stallion owner will not be recognized.
- §2. If a mare registered in the Official Stud Book or the Official Registry Book, or recorded in the Preliminary Stud Book, is leased, the Association must be notified of the leasing arrangement and advised as to which person is eligible to be listed as a “breeder” on the registration papers of the offspring of the mare and to be presumed to be the owner of offspring.
- §3. Notices required under this Article must be provided in writing and signed by the record owner of the stallion or mare and the lessee. The Association will accept a copy of a written lease, a memorandum of a lease, or a letter provided it is signed by both parties to the lease.
- §4. (a) The notice must state the date on which the lease becomes effective.
- (b) If the notice states a date on which the lease will expire, unless the Association is provided with a written notice of an extension signed by the record owner and the lessee, the Association will not:
1. in the case of a stallion lease, accept from the lessee breeding reports covering any period of time after the expiration date; or
 2. in the case of a mare lease, issue registration certificates listing the lessee as the “breeder” for offspring of the mare foaled more than one calendar year after the expiration.
- (c) If the notice does not state a date on which the lease will expire, the Association will treat the lease agreement as being in effect until presented with either written notice of termination signed by both the record owner and the lessee, or a court order.

ARTICLE XIV – AGE OF HORSE

§1. The age of a horse shall be on the basis of the month, day and year of foaling.

ARTICLE XV – NAME OF HORSE

- §1 (a) No horse will be registered in the records of the Association by any name which is a duplicate of the name of a horse, living or dead, already registered with the Association, except that the name of a horse reported to be dead for 15 or more years may be re-used.
- (b) Names of winners of the ATA Palmenblüte award, the Trakehner Verband Stallion-of-the-Year or Trakehner-of-the-Year award may not be re-used at any time.
- §2. The name of the horse shall not exceed twenty-five (25) characters including blank spaces. In the case of horses eligible for future transfer to the Official Stud Book, the first letter of the name shall be the same as the first letter of the dam line. Otherwise, if the dam is not a Trakehner, the name may start with any letter.
- §3. Names consisting entirely of numbers, such as “One Six,” or the words “filly” or “colt” as part of a name are not permitted.
- §4. Punctuation marks other than apostrophes, umlauts or other accents, are not permitted.
- §5. The horse must be named prior to being used for breeding purposes. Thereafter, the name of the horse cannot be changed.
- §6. Horses registered in the Stud Book of the Trakehner Verband or an association affiliated with the Trakehner Verband will be registered in the ATA Stud Books with the same name. If such name is already in use in the ATA registry, the appropriate Roman numeral will be added to the horse's name.

§7. The Association may refuse to register a horse by any name that appears inappropriate, misleading or obscene.

ARTICLE XVI – REGISTRATION PROCEDURE

- §1. Before any horse eligible for registration shall be registered all transfers of its sire and dam, where applicable, must be completed and its DNA type must be on file.
- §2. The owner of the horse to be registered shall execute an application for registration of the horse on a form provided without cost by the Association which shall be identified as "Application for Registration." The said application shall be accompanied by the required fee, and shall include the name, sex, color, markings, and foaling date of the horse to be registered and the name, registration number and color of the sire and dam. Upon verification of parentage through DNA-typing and approval of the application by the Registration Committee, an original certificate of registration shall be issued to the owner in either the Official Appendix Book, the Official Registry Book, the Preliminary Stud Book or the Official Stud Book. The certificate shall contain the same description of the animal as contained in the application form, appropriately identify the horse as registered in the Official Appendix Book, the Official Registry Book, the Preliminary Stud Book, or the Official Stud Book of the Association, and specify the registration number with the prefix "OAB," "ORB," "PSB," or "OSB" as the case may be.
- §3. The owner of a horse which qualifies for registration in the Official Stud Book of the Association pursuant to Part II, Article VII, of these Regulations may make application for approval of the horse as a broodmare or breeding stallion on a form provided without cost by the Association which shall be identified as "Application for Inspection." This form shall identify the horse and its owner and shall be accompanied by the required fee and the horse's original certificate of registration. Upon completion of processing of the application by the Registration Committee and approval after personal inspection by a member or members of the Inspection Committee, the Association shall issue to the owner of the horse a certificate of registration in the Official Stud Book. If transfer to the Official Stud Book is disapproved, the original certificate of registration in the Official Registry Book will be returned to the horse's owner with the appropriate endorsement.
- §4. When the horse which is the subject of the application has been accepted for registration in either the Official Appendix Book, Official Registry Book, Preliminary Stud Book, or Official Stud Book and the completed certificate issued therefor, such registration shall be binding upon the Association and the person to whom issued may be deemed the owner unless and until clear and convincing evidence is adduced showing fraud or mistake in the application or issuance of the certificate of registration, or the ownership is properly transferred.
- §5. The Association and its officers shall use diligence in securing true information in connection with the registration of any and all animals registered, but neither the Association nor its officers shall be answerable in damages for the issuance of any certificate of registration made in conformity with information supplied by the application for registration.
- §6. A duplicate certificate of registration will be issued to the owner of record upon an application therefor accompanied by the required fee and affidavit explaining the loss of the original.

ARTICLE XVII – TRANSFERS

- §1. When an ATA registered horse is sold, the seller shall endorse in full and deliver to the buyer its certificate of registration, and the buyer shall forward said certificate and the required fee to the Association. Transfer of registration shall be made to each subsequent owner upon receipt by the Association of the registration certificate fully endorsed on the back thereof by the person(s) to whom issued.
- §2. In order that the progeny of any ATA registered horse may be registered, all transfers of ownership of the horse and dates of sales must be duly recorded.
- §3. The Association may transfer the registration of an ATA registered horse to a new owner upon order of a court of competent jurisdiction or, in the event of death or incapacity of the owner, upon other satisfactory proof of transfer of ownership.

ARTICLE XVIII – GELDINGS

§1. When a previously registered male horse is castrated, the certificate of registration, with the date of castration noted thereon, should be surrendered to the Association. An endorsement that the change has been noted in the records of the Association shall be placed upon the certificate of registration and the certificate, so endorsed, shall be returned to the owner of the horse.

ARTICLE XIX – DEATH OF A REGISTERED ANIMAL

§1. Upon the death of an animal, its certificate of registration with the date of death noted thereon, should be surrendered for recording and cancellation. Canceled certificates will be returned to the owner, if requested.

PART III – BRANDING

ARTICLE I – ELECTIVE BRANDING OF HORSES WITH DOUBLE MOOSE ANTLER BRAND

- §1. Any eligible purebred horse registered with the Association, or its purebred offspring, may be branded, at the expense and peril of its owner, on the left hindquarter with the modified double moose antler brand as the recognized sign of the horse of purebred Trakehner origin registered with the Association in North America.
- §2. Horses of purebred Trakehner origin which are branded on the left hindquarter with the Trakehner Verband double moose antler brand are ineligible for branding as provided in §1 of this Article.

ARTICLE II – BRANDING PROCEDURE

- §1. All horses registered in the Association's Official Stud Book, or their purebred offspring registered in the Official Registry Book, may be branded as provided In Part III, Article 1, of these Regulations upon application to the Registration Committee, payment of the required fees and verification by that Committee of the registry status of the horse to be branded.

ARTICLE III – BRANDING OF FOALS

- §1. If branding is desired, all eligible purebred foals by an approved stallion out of an approved mare must be branded prior to weaning as provided in Part III, Article I, of these Regulations, upon the furnishing of proof satisfactory to the Registration Committee of the purebred status of the foal to be branded. Any foal not branded prior to weaning shall be ineligible to be branded except as provided in §2 of this Article.
- §2. A purebred foal may be branded after it has been weaned upon application to the Registration Committee under the following circumstances:
- (a) the death, illness, or injury of the dam which requires premature weaning of the foal; or
 - (b) the inability of the Association's branding representative to brand the foal prior to weaning.
- §3. Foals by approved Trakehner stallions and out of mares registered in the Preliminary Stud Book are also eligible for the full Trakehner brand.
- §4. If a foal is not branded prior to weaning, it must first be duly registered with the Association to be eligible to be branded.
- §5. The Registration Committee shall issue a branding authorization to the Chair of the Committee on Branding for the application of the brand upon the receipt of the application for branding, together with the foal's breeding certificate and after the Committee is satisfied of compliance with all Association regulations governing the branding of Trakehner foals pursuant to this Part III of these Regulations.

ARTICLE IV – BRANDING OF STALLIONS

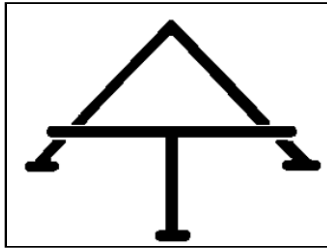
- §1. If desired by, and at the expense and peril of, their owners, stallions approved for breeding by the Association may be branded on the left side of the neck with the brand specified in Part III, Article I, §1, of these regulations in a size smaller than used on the hindquarter. Application for such branding must be made together with the application for inspection.

ARTICLE V – ELECTIVE BRANDING OF ANGLO-TRAKEHNER AND ARAB-TRAKEHNER HORSES

- §1. Upon application by a member of the Association and payment of the appropriate fees, any Arab-Trakehner or Anglo-Trakehner horse owned by such member and registered in Division B or C of the Official Registry Book, the Official Appendix Book or the Preliminary Stud Book and not eligible for the double moose antler brand may be branded on the left hindquarter, at the expense and peril of its owner, with the Association's single moose antler brand as the recognized sign of a horse registered with the Association as follows:

ARTICLE VI – ELECTIVE BRANDING OF PART-TRAKEHNER HORSES

§1. Upon application by a member of the Association and the payment of the appropriate fees, any horse owned by such member and registered in the Official Registry Book or the Official Appendix Book of the Association and not eligible for either the double moose antler brand or the single moose antler brand may be branded on the left hindquarter, at the expense and peril of its owner, with the Association's Part-Trakehner brand as the recognized sign of a horse registered with the Association, as follows:



ARTICLE VII – APPEALS OF BRANDING DECISIONS

§1. A decision of the Registration Committee with respect to the branding of foals may be appealed to the Board of Trustees of the Association whose decision shall be final.

PART IV – DISCIPLINARY PROCEDURE

ARTICLE I – PROHIBITED CONDUCT

- §1. If the Association finds that any member has failed to comply with any of these Regulations, or has been guilty of any misconduct or misrepresentation which in any manner involves the purpose or good name of the Association, that member may be expelled or suspended from membership in the Association and subjected to other action as may be within the Association's power

ARTICLE II – PROCEDURE

- §1. Any party in interest, or the Association upon a majority vote of the membership, may file a written complaint with the Secretary of the Association that plainly and concisely specifies the alleged acts of misconduct or misrepresentation by a member of the Association. The Secretary shall provide copies of the complaint and any other pertinent information to the Board of Trustees. If a majority of the Board concludes that the charges, if true, involve the purpose and integrity of the Association, the Board shall refer the complaint to the Corporate Regulations and Grievances Committee for investigation.
- §2. Before the Corporate Regulations and Grievances Committee takes any action against a member against whom a complaint has been filed [“Respondent”], the Respondent shall be furnished a copy of the complaint and a notice that, unless the Respondent files a written answer within 15 days, the stated charges may be taken as true. The Respondent will be granted a hearing by the Committee upon request. The Committee, in its sound discretion, shall decide the issues upon the writings filed and information presented at the hearing, if any. The Respondent may appeal any adverse decision of the Committee to the Board of Trustees, whose decision on appeal shall be final.
- §3. By joining and maintaining membership in the Association, every member waives any claim or cause of action against the Association, its members, Committee members, employees, and trustees arising out of any disciplinary action taken against that member pursuant to this Article.

ARTICLE III – RESTORATION OF ASSOCIATION PRIVILEGES

- §1. The Board of Trustees may restore to good standing as a member any person previously deprived the privileges of the Association by reason of expulsion, suspension, or other disciplinary action, upon compliance by that person with any reasonable requirements imposed by the Board of Trustees assuring it that the conduct giving rise to the expulsion, suspension or other disciplinary action will not occur again.

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